



AAMGA®



**American Association of
Managing General Agents**

610 Freedom Business Center | Suite 110
King of Prussia, PA 19406
Phone 610-992-0022 | Fax 610-992-0021
www.aamga.org

APPLICATION FOR ASSOCIATE MEMBERSHIP

*Application is hereby made for ASSOCIATE Membership in the
American Association of Managing General Agents and in this
regard, the following is submitted.*

Firm Name _____

Mailing Address _____

City _____ State/Province _____ Zip _____

Street Address _____

City _____ State/Province _____ Zip _____

County _____ Email _____

Phone _____ Fax _____

Contact Person _____

WATS National _____ Website _____

Date Firm Established _____ State of Domicile _____

Applicant is Individual Co-Partnership Corporation

Total Number of Employees _____

What services do you provide for AAMGA MGA Members? _____

If you are an insurer, what lines or classes of business do you write? _____

In what states are you doing business? (Please designate if admitted or non-admitted) _____

Subsidiaries and branch office(s) (Please give complete mailing address) _____

Names and titles of principal officers in the company who work directly with AAMGA members

Please list three (3) MGAs of the AAMGA who will write recommendation letters for your company
Give complete Agency Name, Address and Contact therein.

Please list MGA AAMGA Sponsors whom you have contacted to provide sponsoring letters. (Must be complete)

MGA Member Name _____

Agency Name _____

Address _____

City _____ State _____ Zip _____

MGA Member Name _____

Agency Name _____

Address _____

City _____ State _____ Zip _____

MGA Member Name _____

Agency Name _____

Address _____

City _____ State _____ Zip _____

Note: Associate membership in the AAMGA requires sponsorship by three MGA Members of the Association. Sponsorship letters may be attached to this application or forwarded directly to the AAMGA office by the sponsors. Please contact your sponsors to submit a letter on your behalf.

Has the firm or any partner, officer, director, shareholder, employee or consultant ever been convicted of forgery, embezzlement, obtaining money under false pretenses, extortion, criminal conspiracy to defraud, any other felony or any other crime involving moral turpitude? Yes No (If the answer is "Yes" to any of the above questions, please give complete details)

Information on the application valid for only 12 months from date of signature. After that, complete reapplication is required.

By execution hereof, the undersigned certifies (1) qualification for Associate Membership in AAMGA as set forth in the By-Laws (as reproduced below); (2) that AAMGA's Code of Ethics (enclosed with this application) has been read and is understood; (3) that the undersigned will abide by AAMGA's By-Laws as they may be amended from time to time, and (4) that the undersigned is not aware of any reason why the letter and spirit of the Code of Ethics cannot be strictly observed by the undersigned and the undersigned agrees to so observe the AAMGA's Code of Ethics.

Firm Name _____

Signature _____ Date _____

Title _____

AAMGA By-Laws
Article III – Membership

Section 3 - Eligibility for Associate Membership

Any applicant for Associate Membership is eligible to apply for membership in the Association by meeting the following requirements.

- A) Any direct risk bearing entity, Lloyd’s Syndicate, London Company, Corporation of Lloyd’s, Lloyd’s Broker, Reinsurance/Intermediary, or Underwriting Manager, other than retail insurance producers, or any MGA as defined in Article 1, Section 2, is eligible for Associate Membership. Please check the appropriate box:

- | | |
|---|---|
| <input type="checkbox"/> All direct risk bearing entities | <input type="checkbox"/> Reinsurance/Intermediaries |
| <input type="checkbox"/> Lloyd’s Syndicates | <input type="checkbox"/> Lloyd’s Brokers |
| <input type="checkbox"/> London Companies | <input type="checkbox"/> Underwriting Managers |
| <input type="checkbox"/> Corp at Lloyd’s | |

- B) An Associate Member shall subscribe to the purposes of the Association as set forth in the By-Laws and to the following sections from the Code of Ethics adopted by the board of directors of the membership as a whole, such sections may be amended from time to time.

Financial, Intra-Organizational, Legal Responsibilities, Community Obligations

Section 4 - Election to Associate Membership

- A) Application for Associate Membership shall be made in writing on a form furnished by the Association. To be elected, the application must meet all of the qualifications set forth in Section 3 of the article.
- B) An applicant must submit at least three favorable letters from sponsoring MGA Members of this Association in good standing.
- C) To be elected to Associate Membership, the applicant must receive the favorable vote of a majority of the Executive Committee of this Association.

AAMGA Code of Ethics

The principals, officers and employees of the AAMGA members have certain obligations to one another, to the insurance industry, and the communities in which business is conducted. Our goals and obligations fall into six (6) broad categories:

- 1) Financial 2) Intra-Organizational 3) Relationships with subproducers 4) Relationships with insurance companies
5) Legal responsibilities 6) Community obligations

1) Financial

As AAMGA members, we must meet all financial obligations (i.e. debts owed, premiums due companies, returns due to sub-producers and insureds, and other matters) on a timely basis.

2) Intra-Organizational

As AAMGA members, we must compete fairly and honorably in the marketplace, making no false statements or misrepresentations about other AAMGA members or competitors.

3) Relationships with Our Sub-Producers

As AAMGA members, we must serve our sub-producers to the utmost of our ability, and in so doing must:

- Research and remain current on the financial stability of companies with which we place business;
- Encourage continuing education and training for ourselves and our staffs; and
- Make no misrepresentation of what coverage is being provided.

4) Relationships with Insurance Companies We Represent

As AAMGA members, we will faithfully execute the underwriting guidelines of the companies we represent.

As AAMGA members, we must act in the utmost good faith and gather all data necessary to make a proper underwriting decision before putting an insurance company at risk.

As AAMGA members, we are obligated to remain current on the laws and regulations affecting insurance companies, in those states in which we have authority, advising companies to the best of our ability on statutes and practices which affect them.

5) Legal Responsibilities

As AAMGA members, we are required to observe all insurance and other applicable state and federal laws and regulations.

6) Community Obligations

As AAMGA members, we will take an active part in the recognized civic, charitable and philanthropic movements which contribute to the public good of our communities.

It is a privilege, not a right, to belong to the AAMGA. Our AAMGA membership is a “badge of honor.”

We pledge to conduct ourselves in a manner befitting the privilege of membership in the American Association of Managing General Agents.