



# AAMGA®



**American Association of  
Managing General Agents**

610 Freedom Business Center | Suite 110  
King of Prussia, PA 19406  
Phone 610-992-0022 | Fax 610-992-0021  
**www.aamga.org**

## **APPLICATION FOR AFFILIATE ENTITY MEMBERSHIP**

*Application is hereby made for AFFILIATE ENTITY Membership in the American Association of Managing General Agents and in this regard, the following is submitted. Incomplete applications will be returned.*

Firm Name \_\_\_\_\_

Mailing Address \_\_\_\_\_

City \_\_\_\_\_ State/Province \_\_\_\_\_ Zip \_\_\_\_\_

County \_\_\_\_\_ Tax ID# \_\_\_\_\_

Phone \_\_\_\_\_ Fax \_\_\_\_\_

Website \_\_\_\_\_

Contact Person \_\_\_\_\_ Direct Number \_\_\_\_\_

Email \_\_\_\_\_

Date Firm Established \_\_\_\_\_ State of Domicile \_\_\_\_\_

Applicant is  Individual  Sole Proprietorship  Corporation  Limited Liability Co.  Partnership

Total Number of Employees (all locations) \_\_\_\_\_ Number of Locations \_\_\_\_\_

What AAMGA MGA Member(s) are You Affiliated With and Relationship \_\_\_\_\_

Number of Branch Offices (please attach listing with address, phone and contact information) \_\_\_\_\_

Number of Subsidiary Companies (if different tax id, separate membership may be required) \_\_\_\_\_

Names and Titles of Principal Officers in the Company who Work Directly with AAMGA Members

Has the firm or any partner, officer, director, shareholder, employee or consultant ever been convicted of forgery, embezzlement, obtaining money under false pretenses, extortion, criminal conspiracy to defraud, any other felony or any other crime involving moral turpitude?  Yes  No

(If the answer is "Yes" to the above question, please give complete details on a separate sheet.)

**Information on the application is valid for only 12 months from the date of signature.  
After that, complete reapplication is required.**

**It is a privilege, not a right to belong to the AAMGA. The AAMGA membership is a “badge of honor.”**

**We pledge to conduct ourselves in a manner befitting the privilege of membership in the American Association of Managing General Agents.**

*By execution hereof, the undersigned certifies (1) qualification for Affiliate Entity Membership in AAMGA as set forth in the By-Laws (as reproduced below); (2) that AAMGA’s Code of Ethics (as reproduced below) has been read and is understood; (3) that the undersigned will abide by AAMGA’s By-Laws as they may be amended from time to time, and (4) that the undersigned is not aware of any reason why the letter and spirit of the Code of Ethics cannot be strictly observed by the undersigned and the undersigned agrees to so observe the AAMGA’s Code of Ethics.*

Firm Name \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

Title \_\_\_\_\_

**Note: Dues may be deductible to members for Federal Income Tax purposes as ordinary and necessary business expenses. Dues are NOT deductible as charitable contributions.**

**AAMGA By-Laws  
Article III – Membership**

**Section 3 – Affiliated Member Eligibility and Approval**

An Affiliated Member of the Association is an entity that is related to a current MGA member and does not qualify for membership under Article III, Section 1 or Section 2.

The Member shall subscribe and agree to implement the goals and objectives of the Association as set forth in these Bylaws, the Strategic Plan, Mission Statement, Code of Ethics and other operational guidelines adopted by the Board of Directors of the membership as a whole. Such provisions may be amended from time to time.

Application for Affiliated Membership shall be made in writing on a form furnished by the Association.

To be approved for Affiliated Membership, the applicant must receive the favorable vote of a majority of the Board of Directors of this Association.

Once approved, the Affiliated Member must pay the appropriate dues owing to complete the membership process.

**AAMGA Code of Ethics**

The principals, officers and employees of the AAMGA members have certain obligations to one another, to the insurance industry, and the communities in which business is conducted. Our goals and obligations fall into six (6) broad categories:

- 1) Financial
- 2) Intra-Organizational
- 3) Relationships with subproducers
- 4) Relationships with insurance companies
- 5) Legal responsibilities
- 6) Community obligations

**1) Financial**

As AAMGA members, we must meet all financial obligations (i.e. debts owed, premiums due companies, returns due to sub-producers and insureds, and other matters) on a timely basis.

## **2) Intra-Organizational**

As AAMGA members, we must compete fairly and honorably in the marketplace, making no false statements or misrepresentations about other AAMGA members or competitors.

## **3) Relationships with Our Sub-Producers**

As AAMGA members, we must serve our sub-producers to the utmost of our ability, and in so doing must:

- Research and remain current on the financial stability of companies with which we place business;
- Encourage continuing education and training for ourselves and our staffs; and
- Make no misrepresentation of what coverage is being provided.

## **4) Relationships with Insurance Companies We Represent**

As AAMGA members, we will faithfully execute the underwriting guidelines of the companies we represent.

As AAMGA members, we must act in the utmost good faith and gather all data necessary to make a proper underwriting decision before putting an insurance company at risk.

As AAMGA members, we are obligated to remain current on the laws and regulations affecting insurance companies, in those states in which we have authority, advising companies to the best of our ability on statutes and practices which affect them.

## **5) Legal Responsibilities**

As AAMGA members, we are required to observe all insurance and other applicable state and federal laws and regulations.

## **6) Community Obligations**

As AAMGA members, we will take an active part in the recognized civic, charitable and philanthropic movements which contribute to the public good of our communities.

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